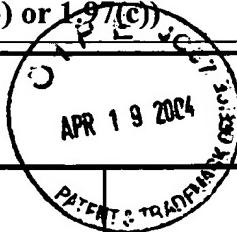


<b>TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT</b> (Under 37 CFR 1.97(b) or 1.97(c))		Docket No. 14779
In Re Application Of: Terumasa Matsushima		
Serial No.  09/903,250	Filing Date  July 11, 2001	 Examiner Unassigned
Title: <b>AUCTION INFORMATION PROVISION SYSTEM CAPABLE OF PROVIDING RELIABLE INFORMATION FOR BOTH PURCHASER AND SELLER</b>		
<b>RECEIVED</b> <small>APR 21 2004</small>		
<small>Address to:</small> <b>Commissioner for Patents</b> P.O. Box 1450 Alexandria, VA 22313-1450		
<b>GROUP 3600</b>		
<b>37 CFR 1.97(b)</b>		
1. <input checked="" type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.		
<b>37 CFR 1.97(c)</b>		
2. <input type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:		
<input type="checkbox"/> the statement specified in 37 CFR 1.97(e);		
<b>OR</b>		
<input type="checkbox"/> the fee set forth in 37 CFR 1.17(p).		

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
 (Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
 14779

In Re Application: Terumasa Matsushima



Serial No.	Filing Date	Examiner	Group Art Unit
09/903,250	July 11, 2001	Unassigned	2163

AUCTION INFORMATION PROVISION SYSTEM CAPABLE OF PROVIDING RELIABLE  
 INFORMATION FOR BOTH PURCHASER AND SELLER

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**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- A check in the amount of \_\_\_\_\_ is attached.
- The Director is hereby authorized to charge and credit Deposit Account No. 19-1013/SSMP as described below.
- Charge the amount of \_\_\_\_\_
  - Credit any overpayment.
  - Charge any additional fee required.

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I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (F:

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 (Date)

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 Signature

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**Certificate of Mailing by First Class Mail**

I certify that this document and fee is being deposited on April 15, 2004 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

\_\_\_\_\_  
 Signature

Paul J. Esatto, Jr.

\_\_\_\_\_  
 Typed or Printed Name

\*This certificate may only be used if paying by deposit account.

\_\_\_\_\_  
 Signature

Signature

Paul J. Esatto, Jr.  
 Registration No. 30,749

Dated: April 15, 2004

SCULLY, SCOTT, MURPHY & PRESSER  
 400 Garden City Plaza  
 Garden City, New York 11530  
 (516) 742-4343

cc: PJE/hpm



**THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**Applicant:** Terumasa Matsushima      **Examiner:** Unassigned

**Serial No.:** 09/903,250      **Art Unit:** 2163

**Filed:** July 11, 2001      **Docket:** 14779

**For:** AUCTION INFORMATION      **Dated:** April 15, 2004  
PROVISION SYSTEM CAPABLE OF  
PROVIDING RELIABLE INFORMATION  
FOR BOTH PURCHASER AND SELLER

**Conf. No.:** 9454

Commissioner for Patents  
United States Patent and Trademark Office  
P.O. Box 1450  
Alexandria, VA 22313-1450

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APR 21 2004  
**GROUP 3600**

**INFORMATION DISCLOSURE STATEMENT**

Sir:

In accordance with 37 C.F.R. §§1.97 and 1.98, it is requested that the following reference, which is also listed on the attached Form PTO-1449, be made of record in the above-identified case.

1. Japanese Laid-Open Patent Application No. H7-302287, published November 14, 1995.

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**CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450 on April 15, 2004.

Dated: April 15, 2004

  
\_\_\_\_\_  
Paul J. Esatto, Jr.

The reference was cited in a Japanese Official Action dated January 27, 2004 received from the Japanese Patent Office. Applicant is submitting a copy of the above-cited reference together with an English translation of the Examiner's comments regarding the reference from the Official Action. The relevance of the reference is described in the Official Action.

In compliance with the requirements of 37 C.F.R. §1.98(a)(3), as a concise statement of relevance, as it is presently understood by the individual designated in 37 C.F.R. §1.56(c) most knowledgeable about the content of the information, the undersigned attorney of record submits a translation of portions of an official action by a foreign examiner in which the reference was cited. The relevance to the pending U.S. Patent application is that the reference was cited in a foreign patent application on the same subject matter. However, no independent analysis of the reference, the accuracy of the statement of the foreign examiner or the claims of the foreign application under the laws of that country or the United States relative to the subject matter claimed in the present application has been made; the present understanding of the contents thereof by the undersigned being based on the translation of the foreign examiner's comments submitted herewith.

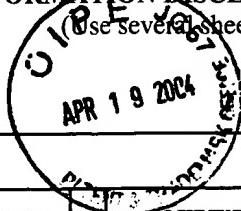
Inasmuch as this Information Disclosure Statement is being submitted in accordance with the schedule set out in 37 C.F.R. § 1.97(b), no statement or fee is required.

Respectfully submitted,  
  
Paul J. Esatto, Jr.  
Registration No. 30,749

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Garden City, New York 11530  
(516) 742-4343

PJE:hpm

INFORMATION DISCLOSURE STATEMENT  
(Use several sheets if necessary)



Atty. Docket No.	14779	Serial No.	09/903,250
Applicant	Terumasa Matsushima		
Filing Date	July 11, 2001	Group	2163

**U. S. PATENT DOCUMENTS**

EXAMINER's INITIAL*	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (if appropriate)

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APR 21 2004

**GROUP 3600**

**FOREIGN PATENT DOCUMENTS**

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
1	H7-302287	11/14/95	Japan			X	
2							
3							
4							

**OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.)**


EXAMINER

DATE CONSIDERED

\* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.